linear hydrocarbon, a cyclic hydrocarbon, an aromatic hydrocarbon, an halogenated alkyl, an organosilicon group, and a fluorinated carbon group. Support for the amendment to claim 1 is found in original claim 1 and at page 9, lines 14-18. Claims 6-9 have been added. Support for these claims is found on page 9, lines 14-18.

No new matter is added by this amendment.

## II. Rejection Under 35 U.S.C.§ 102

Claims 1, 2, and 5 stand rejected as anticipated by JP 634014. The Examiner states that if applicant was to amend the claims in order to limit the thickener to those thickeners which are outside the scope of the thickeners disclosed in JP 636014, it would materially help advance the prosecution of the application.

Claim 1 has been amended to limit the hydrophobic group of the thickener to a group consisting of a linear hydrocarbon, a cyclic hydrocarbon, an aromatic hydrocarbon, an halogenated alkyl, an organosilicon group, and a fluorinated carbon group. Claims 6-9 have been added to further define the hydrophobic group as a cyclic hydrocarbon, an halogenated alkyl, an organosilicon group, and a fluorinated carbon group. Applicant believes the amendment is consistent with the Examiner's recommendation to amend the claims in order to limit the thickeners. Accordingly, Applicant respectfully requests withdrawal of this rejection.

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Amendment

III. Rejection Under 35 U.S.C.§103

Claims 1, 2, and 5 are rejected as obvious over Okumura et al. (U.S.

Patent No. 5,580,374) either alone or in view of Doolan et al. (U.S. Patent No.

5,425,806) and Shay et al. (U.S. Patent No. 5,478,602).

Claims 3 and 4 are rejected as obvious over Okumura et al. either alone

or in view of Doolan et al. and Shay et al. as applied to claim 1 and 2 and further in

view of either Kobayashi et al. (U.S. Patent No. 4,822,417) or JP54138732. Claims

3 and 4 also continue to be rejected as obvious over JP 6346014 in view of either

Kobayashi et al. or JP 54138732.

The Examiner contends that Okumura et al. disclose a thickener which

is an alkali salt of copolymers of styrene and maleic acid.

Applicant believes the amendment to limit the thickeners of claim 1 and

the above remarks also overcome these rejections. Therefore, Applicant respectfully

requests withdrawal of these rejections.

IV. Conclusion

In view of the foregoing, it is believed the claims are in condition for

allowance and allowance is earnestly solicited.

Respectfully submitted,

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In re Application of:

Serial No.: 09/297,399

Filed: April 29, 1999

Examiner:

C. SHOSHO

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**DEPOSIT ACCOUNT NO. 04-0100** 

WATER BASED INK COMPOSITION FOR BALLPOINT PEN

## MARK-UP FOR AMENDMENT PURSUANT TO 37 C.F.R. §1.121

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

October 15, 2001

## IN THE CLAIMS

1. (Thrice amended) A water based ink composition for a ballpoint pen which comprises a thickener which is associative and swells in an alkaline medium, said thickener comprising a polymer having a carboxyl group and a hydrophobic group selected from the group consisting of a linear hydrocarbon, a cyclic hydrocarbon, an aromatic hydrocarbon, an halogenated alkyl, an organosilicon group, and a fluorinated carbon group, a pigment, a polar solvent comprising water and other water-miscible solvents and a pH controlling agent.

Serial No. 09/297,399 Response to dated